



# Improved Prediction And State Monitoring Of Anaesthesia Level Of Patients Using Deep-Learning Approach

Nithiyasree P<sup>1\*</sup>, Dr.Kavitha Subramani<sup>2</sup>, Dr.M.Maheswari<sup>3</sup>, Dr.V.Sathiya<sup>4</sup>, Mr.P.Rama Subramaniam<sup>5</sup>

<sup>1\*</sup>Department of Computer Science and Engineering, Panimalar Engineering College, Chennai, India

<sup>2</sup>Department of Computer Science and Engineering, Panimalar Engineering College, Chennai, India

<sup>3</sup>Department of Computer Science and Engineering, Panimalar Engineering College, Chennai, India

<sup>4</sup>Department of Computer Science and Engineering, Panimalar Engineering College, Chennai, India

<sup>5</sup>Department of Computer Science and Engineering, P.S.R.Engineering College, Sivakasi, India

\*Corresponding Author: Nithiyasree P

nithiyasreetvm2001@gmail.com

Citation: Nithiyasree P et al. (2024), Improved Prediction And State Monitoring Of Anaesthesia Level Of Patients Using Deep-Learning Approach, *Educational Administration: Theory and Practice*, 30(4), 6592-6598, Doi: 10.53555/kuey.v30i4.2435

## ARTICLE INFO

## ABSTRACT

The anesthesiologist uses three different types of medicine to control the level of anesthesia during surgery: muscle relaxants, which are often used to suppress muscular reflexes, hypnotics to induce and maintain unconsciousness, and analgesics to block pain. These days, anesthesiologists may use instruments that measure unconsciousness in real time to determine the ideal dosage of hypnosis. These monitors typically use electrodes to attach to the patient's forehead and display a signal derived from the EEG activity of the patient. Based on the value of the signal, the anesthesiologist can assess the patient's state of unconsciousness. The amount of anesthesia provided depends directly on the patient's preoperative physical condition and intraoperative vital signs. It also has to consider the time the patient would need to recover after surgery. In order to safeguard their patients' vital signs during surgery, anesthesiologists must possess specialized knowledge and be quick thinkers, as each patient has distinct intraoperative conditions and symptoms. Thus, the proposed approach provides an effective means of predicting the patient's state of awareness during anesthesia. In this work, Ensemble Machine Learning techniques like SVM and Random Forest together with Deep Learning techniques like CNN and LSTM are used to determine the level of anesthesia and the accuracy found to be 96%.

**Keywords**-Long-short-term memory (LSTMs) and convolutional neural networks (CNNs), Conscious, Less Conscious, SVM (Support Vector Machine), Random Forest, Anaesthesia.

## I. INTRODUCTION

Patients can get surgery under general anaesthesia, a reversible state of unconsciousness induced by the administration of anesthetic medications, patients can undergo surgery without feeling pain or recalling the details of the process. Light anaesthesia, for example, may allow for consciousness while under anaesthesia, whereas severe anaesthesia may result in cardiovascular depression and prolonged recovery times. In developed nations, there are 1-2 cases of awareness for every 1000 patients, or 20,000-40,000 cases annually. Sadly, the percentages for youngsters are considerably greater (0.2%-2.7%). The clinical indicators of the patient have traditionally been used by anesthesiologists to determine the proper LoH status. Compared to this method, the LoH electroencephalogram (EEG)-based monitors represent an improvement. These monitors have been verified in multiple adult clinical trials. One such monitor that is commonly used in hospitals is the frontal lobe EEG-based bispectral index (BIS) monitor, which provides a single dimensionless empirical value with a range of 0 to 100. There is a substantial association between BIS and LoH in adult patients undergoing general anesthesia and sedation. However, a number of studies revealed that BIS did not sufficiently monitor anesthetics such as ketamine and nitrous oxide. Moreover, there are

usually few validated data for pediatric patients on these monitors, and the ones that are available are usually extrapolated from adult populations. One more issue that BIS monitors must deal with is the dearth of data regarding pediatric patients. According to research, BIS values differ throughout paediatric patients and are influenced by their age group as well as the muscle relaxant injection. The research findings indicate that at the same time periods following the injection of the anaesthetic medication. Muscle relaxant use may cause a decrease in BIS. Because of a decline in front of an electromyogram (FEM) components, which may also interfere with the monitoring of sedation depth. As a result, research is still long going to determine the BIS monitor's accuracy and reliability for paediatric patients, particularly those who are infants, toddlers, and neonates. The current approach classifies brain states under anesthesia using deep neural networks and meta-learning, and it makes use of a novel framework called Anes-MetaNet. A meta-learning framework handles large cross-subject variability, a temporal consequence model that uses networks with Long Short-Term Memory (LSTM) records temporal correlations, and Convolutional Neural Networks (CNN) extracts power spectrum properties comprise the Anes- MetaNet. The Anes-MetaNet framework's release is a significant advancement in the disciplines of medical monitoring and anesthesia management. This novel approach was created to address the difficult task of classifying various brain states when anaesthesia is being administered. Unlike conventional methods, Anes-Meta Net harnesses the power of meta-learning and deep neural networks to greatly enhance the precision and adaptability of this critical task. At the core of Anes-MetaNet is its unique meta-learning framework, which has been engineered to effectively address the considerable variability encountered when dealing with diverse subjects.

### II. ARCHITECTURE OVERVIEW

The project's initial stage will involve gathering the dataset which will then be divided into datasets for testing and training. The testing dataset will be kept separate, and the training dataset will be utilised to train the model.

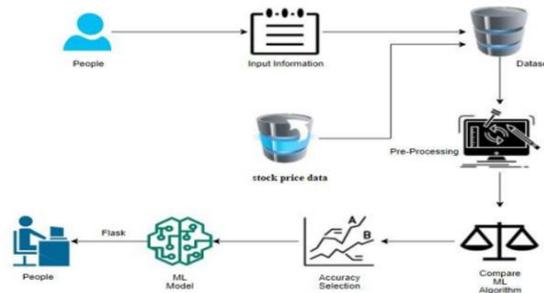


Figure 1 System Architecture

The technique of dataset preparation is used to lower signal noise. The preprocessed and gathered datasets are then utilized to extract features, and the Spectrum approach is employed to do so. Currently, machine learning techniques like ensemble deep learning are being used to train the model. To predict the state of consciousness in patients, a number of algorithms are used, such as SVM, Random Forest, CNN, and LSTM. Once various deep learning and machine learning algorithms have been used, the datasets will undergo validation and assessment. After being trained, when an input signal for anesthesia prediction is given, the system can reliably classify the Depth of anesthesia into two categories, such as Conscious and Less Conscious. Additionally, a web application is developed for providing input signal for detecting and displaying output results. Thus, this project helps in effective prediction of conscious or less conscious patients during anesthesia using multiple deep learning and machine learning algorithms.

### III. METHODOLOGY

#### DATA SET COLLECTION

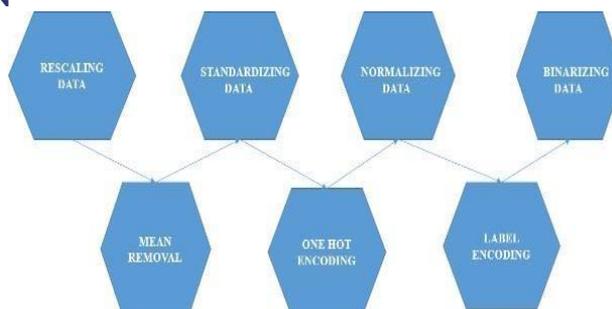


Figure 2 Dataset collection

The dataset for this project is gathered from research websites and fed into deep learning and machine learning algorithms for training. Accuracy rises with the size of the dataset. Machine learning has become the go-to approach to tackle numerous difficult real-world issues. It is unquestionably the strategy that performs the best on prediction challenges. The massive amounts of data that these machine learning devices—which have been doing so brilliantly—need are fuel. The more labeled data the model deals with, the better it performs.

### DATASET PRE - PROCESSING

The process of getting raw data ready for a machine learning model is called preparation. In order to train AI and machine learning models and get conclusions from them, data preparation techniques have changed during the last few years. The process of preparing data for use in machine learning, data mining, and other data science procedures expedites and improves its structure. The methods are usually implemented early in the machine learning and artificial intelligence development pipeline in order to yield consistent results. In this project, the dataset preparation method is used to reduce the noise level of the signal.

In recent Years after years, deep learning has become increasingly popular. Neural nets with numerous hidden layers are used in deep learning at the state of the art, they need a substantial volume of training data. In perceptual tasks including speech, language processing, and vision, these models have demonstrated particularly strong performance, with insight and accuracy that are comparable to those of people. The theoretical and mathematical foundation was laid many years ago. Two factors have mostly driven machine learning: a) the accessibility of substantial data sets and training examples in numerous. Both the network architecture and the type of input data must be carefully considered to develop a functional neural network model. Three channels—which stand for Red, Green, and Blue (RGB) colors—are typically used to arrange data. Pixel values are typically [0,255].

- There are 100photos.
- Picture height, width=100
- Pixel levels and channels within the range [0–255]

**Equal aspect ratio:** One of the first things to do is to make sure that every image has the same size and aspect ratio. Each image must be inspected to determine if it is square and cropped appropriately, as most neural network models demand square-shaped input pictures. Cropping can be used, as demonstrated, topic square area of the picture. When cropping, the central portion is typically of interest.

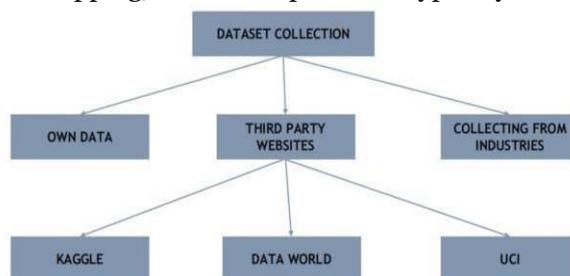


Figure3 Dataset Preprocessing

### HYBRID MODEL OF SVM AND RF VOTING CLASSIFIER:

Voting classifiers are machine learning models that are trained on a large ensemble of models and that predict an output (class) by identifying which of the models has the highest chance of providing the desired class. By simply averaging each classifier's output that is input within the classifier for voting, it forecasts results using class determined by the majority. The goal is to train a single model that forecasts results using the majority of votes from each output class, rather than building many, specialized models and evaluating each one's accuracy.

Two voting formats are supported by Voting Classifier.

**Hard Voting:** The class with the most votes, or the class having the greatest probability of each classifier's prediction, is the projected output class in a hard voting process. Assume that three classifiers (A, A, and B) correctly predicted the output class; in this case, the majority correctly predicted A. Therefore, the final prediction will be A.

**Soft Voting:** The forecast made for a class based on its average probability is known as the output class in soft voting. Assume that the prediction probabilities for classes A and B are, respectively, (0.30, 0.47, 0.53), given a set of inputs to three models. As a result, class A is the victor since its average was more than the class average B (0.4333 versus 0.3067), as determined by each classifier.

Creating a hybrid model by combining Support Vector Machines (SVM) and Random Forests (RF) involves leveraging the predictions from each model as supplementary features for a meta-classifier. Mathematically, let us denote the

SVM prediction as:  $y_{SVM}$

RF prediction as:  $y_{RF}$ .

Initially, an SVM model is trained on the dataset, optimizing the hyperplane equation to find the decision boundary, typically represented as:

$$y_{SVM} = \text{sign} \left( \sum_{i=1}^N N_i y_{iK} (x, x_i) + b \right)$$

where  $y_{SVM}$  represents the decision function,

$a_i$  and  $b$  are the coefficients obtained during training,  $y_i$  are the target values, and  $K(x, x_i)$  is the kernel function.

Simultaneously, a Random Forest model is trained, leveraging an ensemble of decision trees to make predictions, combining their outputs through averaging, or voting mechanisms:

$$y_{RF} = 1/T \sum_{t=1}^T ft(x)$$

where  $y_{RF}$  is the RF prediction,

$T$  is the number of trees in the forest, and  $ft(x)$  represents the output of an individual tree.

Initially, both an SVM model and an RF model are trained on the dataset. Predictions are then obtained from both models for the training set. Subsequently, a new dataset is formed by incorporating these predictions  $y_{SVM}$  and  $y_{RF}$  alongside the original features. This dataset is represented as:

$$\text{New dataset} = \{X, y_{SVM}, y_{RF}\},$$

Where  $X$  stands for the original features.

Finally, a chosen technique (such as Gradient Boosting Machine or Logistic Regression) is used to train a meta-classifier on this new dataset. This allows the meta-classifier to learn from the combined information of the original features and the predictions from both SVM and RF. This hybrid method combines the benefits of SVM and RF within a meta-classification framework, potentially improving performance. This meta-classifier can be represented as a function  $F$  that incorporates the original features and predictions:

$$F(X, y_{SVM}, y_{RF}) = \text{Classifier}(X, y_{SVM}, y_{RF})$$

Here, Classifier could be another learning algorithm like Logistic Regression, Gradient Boosting Machine, or a neural network. This meta-classifier learns to combine the information from SVM, RF predictions, and the original features, potentially enhancing the overall model's predictive capabilities by capturing diverse patterns from both SVM's decision boundary and RF's ensemble learning.

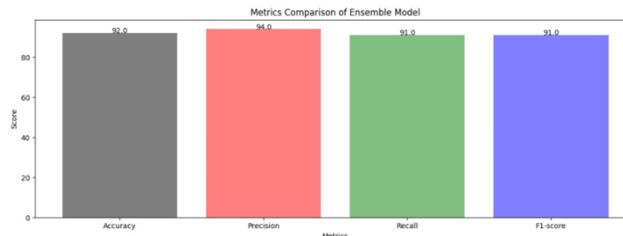


Figure4 Metrics Comparison of Ensemble Model

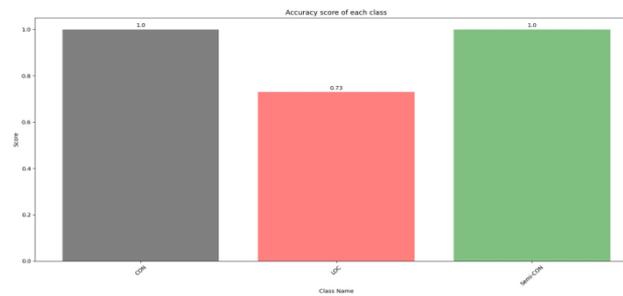


Figure5 Accuracy Score of Each Class

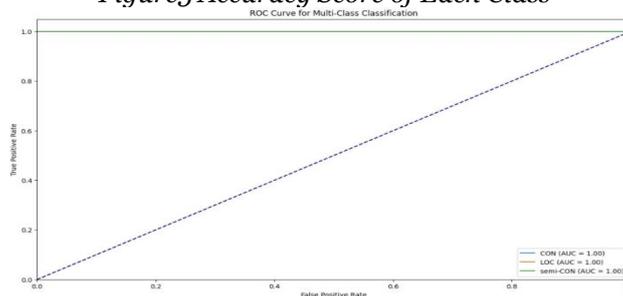


Figure6 ROC Curve for Multi-class Classification

The investigation for predicting the depth of anesthesia during surgical interventions employed a machine learning approach utilizing the algorithms Random Forest (RF) and Support Vector Machines (SVM). The model input comprises a set of features derived from various physiological signals, including blood pressure, heart rate, and electroencephalogram (EEG) data. For the SVM model, it leveraged its capability to delineate complex decision boundaries and capture non-linear relationships within the data. SVM's capacity to manage feature spaces with great dimensions made it well-suited for extracting intricate patterns from the diverse physiological signals associated with anesthesia levels. In parallel, the Random Forest model was employed for its ensemble learning characteristics, aggregating predictions from multiple decision trees to enhance accuracy and robustness. Both models underwent training and evaluation using a dataset annotated with varying depths of anesthesia. The SVM and RF models demonstrated notable effectiveness in predicting anesthesia depth, showing casing their potential utility in real-time monitoring during surgical procedures. Our study underscores the versatility of machine learning techniques, specifically SVM and RF, in contributing to the advancement of anesthesia management by providing reliable and accurate predictions of anesthesia depth.

#### IV. RESULTS&DISCUSSION

To address the DoA classification issue in office-based situations, an Anes-MetaNet where prior research has not thoroughly examined the categorization of EEG data related to anaesthesia. Using EEG data collected under anaesthesia, our model works well for categorizing brain states. Anes-MetaNet, which is advised, can reduce participant individual variations. The individual variances amongst subjects can be lessened by the suggested Anes- MetaNet.

To improve the model's categorization accuracy, the model is trained to increase its accuracy in categorization. The t-SNE visualization validates our two-stage training method. The OBA dataset's complexity and the necessity of developing the Anes-MetaNet are shown by the comparison results between the OBA and HBA datasets.

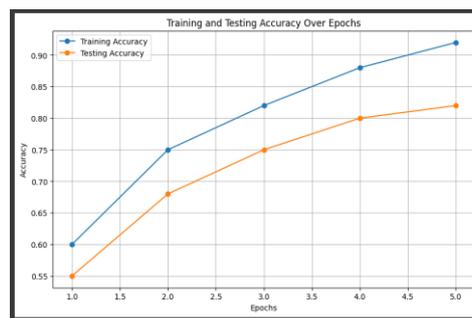


Figure 7 Training and Testing Accuracy over Epochs

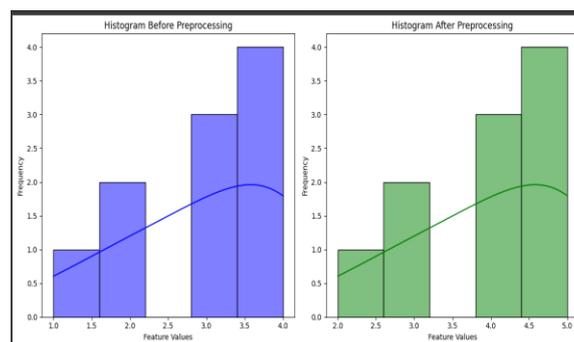


Figure8 Histogram before and after pre-processing

#### V. CONCLUSIONANDFUTUREENHANCEMENT

Based on the aforementioned reference studies, the following are the main drawbacks: The current system's accuracy is too low, and the CLSTM algorithm produces subpar classification results. Classifications with high precision are required since the system's dependability cannot be compromised. The main problem with switching is that it forces the single controller to continuously adapt to the two different subsystems instead of switching to different gains, which eventually leads to poor tracking. To train the model step-by-step to increase its accuracy in categorization. The t-SNE visualization validates our two-stage training method. The OBA dataset's complexity and the necessity of developing the Anes-MetNet are shown by the comparison results between the OBA and HBA datasets. About the accuracy and interpretability of the model.

```

Classification Report:

              precision    recall  f1-score   support

   CON         0.89         1.00         0.94         16
   LOC         1.00         0.73         0.85         15
  semi-CON     0.92         1.00         0.96         22

 accuracy              0.92         53
 macro avg           0.94         0.91         0.91         53
 weighted avg       0.93         0.92         0.92         53

```

Figure9 Classification Report

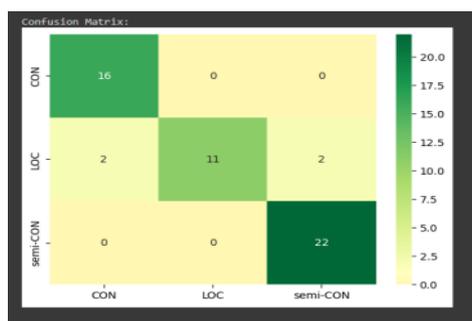


Figure10 Confusion Matrix

## REFERENCES

1. Qihang Wang, FengLiu ,Guihong Wan, and Ying Chen, "Inference of Brain States Under Anesthesia WithMeta Learning Based Deep Learning Models" IEEE transactions on neural systems and rehabilitation engineering, vol. 30, 2022
2. NooshinBahador and JukkaKortelainen, "A Robust Bimodal Index ReflectingRelativeDynamics of EEG and HRV With Application inMonitoring Depth ofAnesthesia" IEEE transactions on neural systems andrehabilitation engineering, VOL. 29, 2021
3. Fatima Hameed Khan , Student Member, IEEE, and WalaSaadeh , Member, IEEE, "An EEG-Based Hypnotic State Monitor for PatientsbDuring General Anesthesia" IEEE transactions on very large scale integration (VLSI) systems, VOL. 29, NO. 5, MAY 2021
4. OleksiiAvilov, Member, IEEE, SebastienRimbart, Anton Popov, Senior Member, IEEE, and LaurentBougrain. "Optimizing Motor Intention Detection WithDeepLearning: Towards Management Of Intraoperative Awareness" Generic Colorized Journal, VOL. 30, NO. 12, MAR 2020
5. Tai Nguyen-Ky, Hoang Duong Tuan ,AndreySavkin , Minh N. Do , and Nguyen Thi Thu Van, "Real-Time EEG Signal Classification for Monitoring and Predicting theTransitionBetween Different Anaesthetic States" IEEE Transactions On Biomedical Engineering, VOL. 68, NO. 5, MAY 2021
6. Wei-Long Zheng, Member, IEEE, Haoqi Sun, OluwaseunAkeju, and M. Brandon Westover, "Adaptive Sedation Monitoring from EEG in ICU patients with Online Learning" IEEE Transactions On Biomedical Engineering
7. Feng Liu, Li Wang, Yifei Lou, Ren-Cang Li, Patrick L. Purdon, "Probabilistic Structure Learning for EEG/MEGSource Imaging with Hierarchical Graph Prior" 10.1109/TMI.2020.3025608, IEEETransactions on Medical Imaging, October 26,2020
8. Boyu Wang , Chi Man Wong , Zhao Kang, Feng Liu, ChangjianShui, Feng Wan ,andC. L. Philip Chen, Fellow, IEEE, "Common Spatial Pattern ReformulatedforRegularizations in BrainComputer Interfaces" IEEE Transactions On Cybernetics, on April 28, 2020
9. MaolongLv , Bart De Schutter , Fellow, IEEE, Wenwu Yu , Senior Member, IEEE,and Simone Baldi , Member, IEEE, "Adaptive Asymptotic Tracking for a Class of Uncertain Switched PositiveCompartmental Models With Application to Anesthesia", IEEE Transactions On Systems, Man, And Cybernetics: Systems, vol. 30, 2022
10. S. Chakravarty et al., "Closed-loop control of anesthetic state in nonhumanprimates," bioRxiv, 2021, doi: 10.1101/2021.09.12.459958.
11. H. W. Shin et al., "Monitoring of anesthetic depth and EEG band powerusing phase lag entropy during propofol anesthesia," BMC Anesthesiol.,vol. 20, no. 1, pp. 1–10, Dec. 2020.
12. J. Abel et al., "Multitaper spectra recorded during GABAergicanaestheticunconsciousness," PhysioNet, 2021, doi: 10.13026/m792-h077.

13. S. B. Nagaraj, P. L. Purdon, F. Shapiro, and B. Westover, "Electroencephalogram monitoring of depth of anesthesia during office-based anesthesia," *bioRxiv*, 2020, doi: 10.1101/2020.10.27.356592
14. S. Afshar, R. Boostani, and S. Sanei, "A combinatorial deep learning structure for precise depth of anesthesia estimation from EEG signals," *IEEE J. Biomed. Health Informat.*, vol. 25, no. 9, pp. 3408–3415, Sep. 2021.
15. M. Jiao, D. Wang, Y. Yang, and F. Liu, "More intelligent and robust estimation of battery state-of-charge with an improved regularized extreme learning machine," *Eng. Appl. Artif. Intell.*, vol. 104, Sep. 2021, Art. no. 104407.
16. Y. Park, S.-H. Han, W. Byun, J.-H. Kim, H.- C. Lee, and S.-J. Kim, "A real-time depth of anesthesia monitoring system based on deep neural network with large EDO tolerant EEG analog front-end," *IEEE Trans. Biomed. Circuits Syst.*, vol. 14, no. 4, pp. 825–837, Aug. 2020.
17. R. Li, Q. Wu, J. Liu, Q. Wu, C. Li, and Q. Zhao, "Monitoring depth of anesthesia based on hybrid features and recurrent neural network," *Frontiers Neurosci.*, vol. 14, p. 26, Feb. 2020.
18. *BrainStatus System Manual*, document 800881, Version 8.0.0, Bittium, 2021.
19. B. He et al., "The analysis of how apnea influences the auto-nomic nervous system using short-term heart rate variability indices," *J. Healthcare Eng.*, vol. 2020, Dec. 2020, Art. no. 6503715, doi:10.1155/2020/6503715.
20. T. M. Oshiro, P. S. Perez, and J. A. Baranauskas, "How many trees in a random forest?" in *Machine Learning and Data Mining in Pattern Recognition*. Berlin, Germany: Springer, Jul. 2021.
21. A. R. Aslam and M. A. B. Altaf, "An on-chip processor for chronic neurological disorders assistance using negative affectivity classification," *IEEE Trans. Biomed. Circuits Syst.*, vol. 14, no. 4, pp. 838–851, Aug. 2020.
22. A. Kaminska et al., "Cortical auditory-evoked responses in preterm neonates: Revisited by spectral and temporal analyses," *Cerebral Cortex*, vol. 28, no. 10, pp. 3429–3444, Oct. 2021.
23. Y. Yang, S. Boling, and A. J. Mason, "A hardware efficient scalable spikesorting neural signal processor module for implantable high-channel-count brain machine interfaces," *IEEE Trans. Biomed. Circuits Syst.*, vol. 11, no. 4, pp. 743–754, Aug. 2021.
24. K. M. H. Badami, S. Lauwereins, W. Meert, and M. Verhelst, "A 90 nm CMOS, 6  $\mu$ W power-proportional acoustic sensing frontend for voice activity detection," *IEEE J. Solid-State Circuits*, vol. 51, no. 1, pp. 291–302, Jan. 2020.
25. M. Shoaran, B. A. Haghi, M. Taghavi, M. Farivar, and A. Emami-Neyestanak, "Energy-efficient classification for resource-constrained biomedical applications," *IEEE J. Emerg. Sel. Topics Circuits Syst.*, vol. 8, no. 4, pp. 693–707, Dec. 2018.
26. I. J. Rampil, J.-S. Kim, R. Lenhardt, C. Negishi, and D. I. Sessler, "Bis-spectral EEG index during nitrous oxide administration," *Anesthesiology*, vol. 89, no. 3, pp. 671–677, 2018.
27. K. Hirota, T. Kubota, H. Ishihara, and A. Matsuki, "The effects of nitrous oxide and ketamine on the bispectral index and 95% spectral edge frequency during propofol-fentanyl anaesthesia," *Eur. J. Anaesthesiol.*, vol. 16, no. 11, pp. 779–783, Nov. 2019.
28. P. Hans, P.-Y. Dewandre, J. F. Brichant, and V. Bonhomme, "Comparative effects of ketamine on bispectral index and spectral entropy of the electroencephalogram under sevoflurane anaesthesia," *Brit. J. Anaesthesia*, vol. 94, no. 3, pp. 336–340, Mar. 2020.
29. P. Roffey, M. Mikhail, and D. Thangathurai, "Ketamine interferes with bispectral index monitoring in cardiac patients undergoing cardiopulmonary bypass," *J. Cardiothoracic Vascular Anesthesia*, vol. 14, no. 4, p. 494, Aug. 2019.
30. N. Disma, S. Pellegrino, and M. Astuto, "Depth of anaesthesia monitoring in children," in *Proc. 22nd Postgraduate Course Crit. Care Med.*, Nov. 2020